

04/23/01
11047 U.S. PTO

04-25-01

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.
RECOP007

A

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Stephen Sorkin, entitled SYSTEM AND MEHTOD FOR COMPUTER SECURITY USING MULTIPLE CAGES, for a(n):

- (X) Original Patent Application.
- () Continuing Application (prior application not abandoned):
() Continuation () Divisional () Continuation-in-part (CIP)
of prior Application No. _____, filed _____.
- () Please add after the title of the application "This is a
() Continuation () Divisional () Continuation-in-part (CIP)
of Application No. _____, filed _____, which is hereby incorporated by reference."
- () This application claims the benefit of U.S. Provisional Application

JC986 U.S. PTO
09/841700
04/23/01

Enclosed are:

- (X) Specification; 73 Total Pages. (X) Drawing(s); 36 Total Sheets.
- () Oath or Declaration:
() A Newly Executed Combined Declaration and Power of Attorney:
() Signed. () Unsigned. () Partially Signed.
- () A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- () Power of Attorney. (X) Return Receipt Postcard.
- () Associate Power of Attorney. () A Check in the amount of \$_____ for the Filing Fee.
- () Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
- () A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- () A Certified Copy of Priority Documents (if foreign priority is claimed).
- () Statement(s) of Status as a Small Entity.
- () Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- () Request and Certification.
- () Other: _____

PLEASE DO NOT CHARGE THE FILING FEE AT THIS TIME.

Respectfully submitted,

By: Susan C. Yi
Susan C. Yi, Reg. No. 39,886

Date: April 23, 2001

Correspondence Address:

Customer No. 21912
Van Pelt & Yi LLP
4906 El Camino Real, Suite 205
Los Altos, CA 94022
Phone: 650-949-1955
Fax: 650-903-3501

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

By: _____

Typed Name: Jennifer Gross

Express Mail Label No.: EL684864557US

Date of Deposit: April 23, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|------------------------------|---|------------------------------|
| In re the application of: |) | Attorney Docket No. RECOP007 |
| |) | |
| SORKIN et al. |) | Examiner: Unassigned |
| |) | |
| Application No: Unassigned |) | Art Unit: Unassigned |
| |) | |
| Filed: April 23, 2001 |) | |
| |) | |
| Title: SYSTEM AND METHOD FOR |) | |
| COMPUTER SECURITY USING |) | |
| <u>MULTIPLE CAGES</u> |) | |

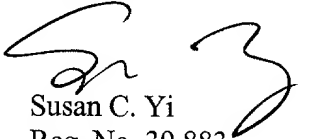
REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,


Susan C. Yi
Reg. No. 39,883

Van Pelt & Yi
4906 El Camino Real
Suite 205
Los Altos, CA 94022
Telephone: (650) 903-3500